Social Power and Stabilization Strategies: A Case Study of Brazilian Troops Deployment in Haiti

Juliana Sandi Pinheiro[[1]](#footnote-1)\*

University of Brasilia, Brazil

Danielle Sandi Pinheiro[[2]](#footnote-2)\*\*

University of Brasilia, Brazil

Abstract

The actions of gangs in urban area of Port-au-Prince, capital of Haiti, contributed to political instability and polarization of Haitian society. Both criminalization as the politicization of the gangs were emblematic about the complexity of the scenario where socioeconomic inequalities combined with the absence of government had denied, to large portions of the population, the minimum conditions of life to ensure, among other factors, protection, food, education and health. This crisis has been mediated by actions of the international community. However, there is not always consensus on the approach to be adopted, particularly as regards the problem of the gangs. The present study proposes an analytical clipping for description and analysis of the strategies of the Brazilian Army to counter the gangs within the framework of the United Nations Mission for Stabilization in Haiti (MINUSTAH). Such approach presents actions directed primarily to the area of security. However, as a secondary effect, such initiatives had the impact of promoting civil organizations, civic social actions and other projects of improvement of the living conditions of the Haitian population. As a consequence, the stabilization method adopted by the Brazilian Army has the potential to promote social empowerment.

Keywords: Social Power, Socioeconomic Stabilization, Security Council.

JEL Classification: B5, O5

1. INTRODUCTION

The United Nations command the second largest contingent of troops in activity in the world, behind only the United States. The United Nations Security Council (UNSC) has on peace missions its principal instrument of direct action in international crises and conflicts. Along with Japan, Brazil is the country that more often has exercised an elective mandate in UNSC[[3]](#footnote-3). In part, the history of the United Nations is intertwined with the conceptual and operational paradox involving peacekeeping operations (PKO).

However, are varied the dilemmas of budget support, the limitations of decision-making process, controlled by the permanent members of the Security Council, and the difficulties inherent in the use of troops and personnel from different countries. Consequently, there is a great diversity regarding the preparation of troops and technical field personel. The dissent and consensus built around the PKOs are often discussed and even used in media campaigns that take turns in support or in criticize the interventions carried out by the United Nations.

Since 90’s, because of circumstances found and also depending on the interests of countries that control the decision-making process, UN has tried to expand the scope and the modalities of its intervention. The growing involvement of the UN in internal dilemmas of its member countries has stimulated the construction of a peculiar legal lexicon that justifies and reinforces broad interventions in terms of domestic policy of Member States. These operations have wide spectrum and, from the experiences of stabilization in Haiti, have integrated the so-called human terrain intervention strategies, using the population as collaborator of this process that promote social and political stability through social empowerment.

This process, as identified by Friis (2010), has approached the doctrine of United Nations peacekeeping to counter insurgency doctrine adopted by NATO members. Although the counter insurgency doctrines identify the need for integrated solutions in terms of security and development nexus, these doctrines tend to be built on the basis of the possible risks and threats to internal and international security that countries in crisis can offer. In this sense, such initiatives are essentially for security, but make use of the development as a vector in your security solutions. This the case revealed by the doctrine of hearts and minds.

The strategies arising from this type of guidance focus on the so-called "stabilization". If, at a given moment in history prior to the terrorist attack on the twin towers in New York, it was possible to discern a global development agenda independent of the issues associated with the maintenance of security in countries and regions ravaged by conflict (related, among other factors, to inequality and poverty), the stabilization actions came to compromise the notions of development for the domain of security factors.

However, there is not a consensual position that delimit the focus and scope of the stabilisation operations. After the terrorist shock of the early 21st century, new experiences began, this time within the collective security system of the UN, being the "*Mission des Nations Unies pour la stabilisation en Haiti*" (MINUSTAH) one of the most notorious and well-known examples.

According to Barakat, Deely, Zyck (2010), stabilization is a process that combines the combat (including counterinsurgency and irregular warfare) to the humanitarian aid to reconstruction and/or local development during or as a result of a violent conflict in order to prevent the continuation or recurrence of conflicts or destabilizing levels of non-conflictive violence. These authors put the stabilization agenda from a historical process where there is the assumption that the "assistance for stabilization" oriented by humanitarian or developmental assumptions can mitigate the insecurity, making local populations more likely to support external agents and local authorities with little confidence on the part of these populations.

In other words, stabilisation operations employ strategies that have the objective of winning the hearts and minds in both local and international levels. The Brazilian participation in MINUSTAH and the performance of Brazil's mission in Haiti are in a wider strategic and operational context where the old peacekeeping operations gave way to the stabilization operations (STABO).

This is a change in the pattern of use of force in crises along the impoverished populations that has not been well debated according to own strategic qualities. Until then, the Brazilian participation in peacekeeping operations of the United Nations was limited the transfer of troops, commanding officers, observers and police experts. The experience in Haiti, allowed the Brazilian MINUSTAH troops to carry on actions with a view to win of hearts and minds. It was the first time that doctrines of counter insurgency have been adopted within the framework of peacekeeping operations of the United Nations.

The participation in MINUSTAH was the largest Brazilian military operation abroad since World War II. The potential results of such operations is to broaden the cooperation programmes, the development of political, economic and social sustainability, in addition to access to investment in social services. However, such stabilisation operations are not always well successful in their political and strategic goals, something that often denigrates the image of the actors involved and results in human and material losses to the local people.

With this context in mind, the article aims to analyze the Brazilian engagement in UN peacekeeping missions, notably the coping strategies of urban gangs and paramilitary groups. We ask whether the *modus operandi* of the Brazilian troops in Haiti was decisive for the stabilization. We adopt the hypothesis that military action with humanitarian goals are a potential source of social empowerment and the use of military troops to this goal can be effective for local pacification and strengthening of the process of socio-political stability.

According to the Swedish International Development Cooperation Agency (in PETTIT 2013, p.9), "power analysis is a learning process that supports staff, partners and other actors to understand the forms of power that reinforce poverty and marginalization, and identify the positive kinds of power that can be mobilized to fight poverty and inequality. Learning about power can develop our sensitivities and competencies to respond in ways that will shift these relations and empower people to realize their human rights. Lack of power - lack of opportunities, resources and security -is one of the multiple dimensions of poverty. Unequal power relations may also foster social conflict, acute political violence and insecurity. Power is dynamic, so individuals and groups may experience it differently from one moment or place to another. In order to identify opportunities, obstacles and risks for effective poverty reduction, human rights, conflict prevention, peace building and sustainable development it is important to understand how power works, who it benefits and how it can be changed. "

In this sense, as an expression of social power, military actions may have positive consequences for the combat of poverty and inequality. For this purpose, it is necessary the coordination between the various governments and international organisations engaged in assistance under the UN Security Council attributions.

The Brazilian troops in Haiti were pioneers in adopting civic social actions with needy populations as an instrument of social empowerment under the United Nations peace missions.

The imposition of peace, even if authorized by the Security Council, is a controversial and an objectionable issue, since peace is an attribute and a conquest of society itself. Thus, military interventions that incorporate social actions with the scope of local development, although promoted as part of a strategic military objectives, are concrete expressions of the effective use of the instruments of social power to promote the stabilisation of a society.

After this introduction, it is presented in section 1 the methodological description of the empirical approach through the use of official documents of the Brazilian Army, known as final reports of deployment. In section 2 is described briefly the social critical scenario experienced by Haiti where there is evidence of dysfunctional links between the Haitian citizens with the institutions and how it favored the emergence of gangs and delinquent behavior. Section 3 deals with the theoretical approach. It is based on the concept of anomie as a macrosociologic explanation of this problem. Section 4 contains the main finds and, lastly, the final considerations are addressed and the main analytical topics are discussed.

1. METHODOLOGICAL ASPECTS

As a main element of empirical approach, particularly with regard to gangs problem, we analyzed official reports of the Brazilian Army. The reports are called “Final Reports of Deployment of the Infantry Battalion of Peacekeeping Force (FDRIBPKO)”. These reports describe the performance and results of the actions of the Brazilian military contingents that served in Haiti. It was examined a 10-year period, between 1 June 2004 to 4 December 2014, for a total of 20 military contingents[[4]](#footnote-4).

Through FDRIBPKO reports all the operations of the Brazilian Army in Haiti were registered. It is important to note that the final reports of the 1st, 3rd and 13th to 16th contingents have not been made available by the Ministry of Defense. That is, a total of 10 reports cannot be analyzed, which didn't bring greater losses to the analysis since the other sixteen reports were obtained and analyzed in a full manner. It should be remembered that after the January 2010 earthquake in Haiti, MINUSTAH had two infantry battalions for peacekeeping force under Brazilian command, each with their respective final deployment report (FDRIBPKO)[[5]](#footnote-5).

In this sense, the reports from 1st to 6th contingents recorded heavy fighting and crashes, mostly in Haitian regions of *Bel Air, Cité Militaire* and *Cité Soleil*. The sense of maintenance and stabilization after the conquest of the land taken by the first military contingents is described from the report of the 7th contingent, which reinforces the idea of successful. However, after the January 2010 earthquake, new conditions have entered in the scenario, but the gang problem persisted. So, a third operational phase, where the stabilization by means of a military force gave way to actions with preponderance of local forces.

Although an extensive description of all the FDRIBPKO reports is far from our purpose, this explanation reveals the most comprehensive description of the facts that happened and the circumstances the Brazilian troops faced in Haiti. See table 1 for a short list describing the Brazilian UN military contingents.

Table 1 – List of Brazilian UN Military Contingents

|  |  |  |
| --- | --- | --- |
| **CONTINGENT** | **PERIOD** | **AUTHOR** |
| 2º | 2004 December - June 2005 | General João Carlos Vilela Morgero |
| 4º | 2005 December – June 2006 | Colonel Luiz Augusto de Oliveira Santiago |
| 5º | 2006 June - December 2006 | Colonel Paulo Humberto Cesar de Oliveira |
| 6º | 2006 December - June 2007 | Not Avaliable |
| 7º | 2007 June – 2007 December | Colonel Julio Cesar de Sales |
| 8º | 2007 December – June 2008 | Colonel Luiz Guilherme Paul Cruz |
| 9º | 2008 June - December 2008 | Colonel Pedro Antônio Fioravante Silvestre Neto |
| 10º | December 2008 – June 2009 | Colonel Fernando Sampaio Costa |
| 11º | July 2009 – January 2010 | Colonel João Batista Carvalho Bernardes |
| 12º - BRABATT 1 | January 2010 - July 2010 | Colonel Otavio Santana do Rêgo Barros |
| 12º – BRABATT 2 | January 2010 – July 2010 | Colonel Luciano Puchalski |
| 14º – BRABATT 2 | February 2011 – September 2011 | Colonel Henrique Martins Nolasco Sobrinho |
| 17º – BRABATT 2 | December 2012 - April 2013 | Colonel Sinval dos Reis Leite |
| 18º | June 2013 - November 2013 | Colonel Zenedir da Mota Fontoura |
| 19º | December 2013 – June 2014 | Colonel Anísio David de Oliveira Junior |
| 20º | June 2014 - December 2014 | Colonel Vinicius Ferreira Martinelli |

Source: Our own elaboration from Brazilian Army reports.

Obs: BRABATT 1: Brazilian Infantry Battalion 1.

BRABATT 2: Brazilian Infantry Battalion 2.

According to Bardin (2011), these kind of reports constitutes the analytical body, that is, they perform the focal set that should be analyzed. It is based on the rules of completeness (they include all field reports available), the homogeneity (they refer to the object of the present study) and relevance (the documents are suitable as a source of information).

Reading the reports showed that in all the documents there are subdivisions. They are related to staff sections (G-1), intelligence (G-2), operations (G-3), logistics (G-4), command and control (G-6), civil affairs (G-9) and social media (G-10). In General, the main analysis and the reporting of operations are presented in the sections of intelligence, civil affairs and operations of the reports, but this did not exclude the examination of the report as a whole.

Therefore, taking as reference the coping strategies of the Brazilian troops against Haitian gangs, it was possible to delineate a frequency table with regard how many times the reports mentioned the adverse forces (for example, gangs and ex-military).

The objective of the military forces in MINUSTAH involved the confrontation of adverse forces, but additionally we linked how many other terms that has appeared. So, the frequency of the terms related to adverse forces was compared with the frequency of appearances of the terms related to humanitarian support. The aim of this comparison was to range the several actions executed by the Brazilian troops in Haiti. The humanitarian actions were labeled in the FDRIBPKO reports by the general names like "civic-social actions", "civil-military coordination", "quick impact projects ", "humanitarian aid", humanitarian action ", among others.

Strictly, the following terms have been used as indicators of frequency: "adverse force", "gang", "ex-military", "civil affairs", "humanitarian", "civic-social actions", "cooperation/coordination civil-military", "quick impact project". In this context, the frequency with which the words "gang" and "ex-military" relativized to the terms that imply humanitarian support appeared in the FDRIBPKO reports worked as indicator of the *modus operandi* of the Brazilian troops and the security situation.

The more references related to adverse forces more reckless will be security situation. The greater the emphasis on humanitarian actions, the greater the detachment of the original military function. However, on the other hand, the better the security situation and social empowerment, which shows positive results of military actions against adverse forces carried out by the Brazilian troops of MINUSTAH.

In short, the analysis of the final reports of military contingents allowed identifying the Brazilian troops *modus operandi* that combined coping actions against gangs and humanitarian actions. Additionally, it was possible to verify the strategic positions of the Brazilian Army about Haitian scenario and the operational tactics experienced by the troops of MINUSTAH in this context.

The Final Deployment Reports were obtained in digital image format. In order to facilitate textual search, the images were digitally converted into text that can be recognized by the textual search tool from Adobe Acrobat (PDF file). This procedure allowed the textual research of the previously selected frequency indicators in each of the reports. The Adobe Acrobat tool automatically generated document containing the search results for each of the textual indicators. On these documents there is information on the terms, date and time of the search, in addition to the indication of the frequency the term appears throughout the document. For example, the term ACISO, Portuguese acronym for civic social action, is quoted 12 times in the report of the 2nd Brazilian Contingent. The Adobe Acrobat file indicates pages and sentences in which each term appears throughout the document. The survey was conducted for each of the previously selected frequency indicators.

The PDF documents were used in the creation of two worksheets in MS Office Word containing the composite image of the frequency indicators for each of the employment reports. The first worksheet contains the indicator “mention to adverse forces”. The second worksheet contains the indicator to “mention to humanitarian work support”. Each worksheet has four columns. The first and second columns contain the number that shows the sequence in which each of the indicators was searched in the deployment report. For example, in all the sheets indicators were searched in the following order: The First Worksheet – (1) Opposing Force; (2) Adverse Forces; (3) Gangs; (4) Chimeres; (5) Ex-military; and Second Worksheet – (6) Civil Affairs; (7) the CIV AF; (8) humanitarian; (9) humanitarians; (10) Social Civic Actions; (11) ACISO; (12) Civil-Military Cooperation/Coordination; (13) CIMIC; (14) Quick Impact projects; (15) QIP. The third column refers to the frequency, that is, the amount of times the corresponding indicator was repeated. For example, the term "Opposing Force" was repeated six times in the deployment report of the 2nd Contingent.

Finally, the fourth column refers to the so-called contextual indicator, which is, “mention to adverse forces” or “mention to humanitarian work support”. This column contains the page and sentence or period in which each indicator appears in the text of the report. For example, the fourth column of the deployment report of the 2nd Contingent, in the row corresponding to the term (1) Adverse Force, reveals that on page 12 of the deployment report, the adverse force indicator was quoted in the following context: “coordinated operations involving large numbers and including House-to-House search are those that produce the largest number of prisoners. However, each new operation of this nature the number of detainees decreases by progressive knowledge of our employment form by the opposing force”. The content analysis of the reports allowed the relativization of the indicators related to the use of force, directly associated with “mention to adverse forces”, with the indicators associated with the more subtle forms of the use of force, i.e. “mention to humanitarian work support”. Thus, for example, the indicator (1) Adverse Force could be compared and qualified with the indicator (6) Civil Affairs; and so on for each of the indicators.

1. HAITIAN GANGS, ANOMIE AND DEVIANT SOCIAL BEHAVIOR

After the description of the methodological and analytical context of the study, we will discuss the problem of Haitian gangs from the concept of anomie. It is important to clarify that the concept of anomie was first employed by the sociologist Emile Durkheim in works published in the late 19th and early 20th century, one of which, “the Division of Social work” and “Suicide”. The sociologist Robert k. Merton in his book “Social Structure and Anomie” worked with the concept of anomie in other social and historical context.

Durkheim's analysis is useful for understanding the structural and normative roots of the Haitian crisis. For other hand, the studies of Merton help to understand the dysfunctional links between Haitian citizens and the country institutions, and how this social pathology favors the appearance of delinquent behaviour and gangs. Additionly, this discussion helps to identify the so called operating theater and justify the actions of the Brazilian troops. According to our hypothesis, it also highlights the social empowerment as a consequence of brazilian military stabilisation practices with humanitarian objectives. The studies of Athena Kolbe (2013), David Becker (2011), Robert Muggah (2010), Dziedzic and Perito (2008), help us to better understand the Haitian scenario of sócio-economic problems as well as the environment of structural crisis.

The history of Haiti, as well as the origins of the structural crisis the country is experiencing since the unsuccessful democratic transition, are described in two important references: *The Prophet and Power* of Alex Dupuy (2007) and *Haiti: The Aftershocks of History* of Laurent Dubois (2012). The socio-political experience, the dilemmas of the economy and the cooperation and intervention of the international community are elements that are usually attributed to the historical process which, in general, resulted in the Haitian crisis. There are, however, few published references that have built databasets about Haitian gangs.

The economic, political and social problems lead to an insuficiente cultural integration and according to Merton (1938) this lack of cultural integration is connected with the social upheavals and crime. The mere prospect of individual progress that drives the life in societies virtually does not exist in Haitian society due to the lack of opportunities. As a result, there are social instability and pathological behavior on the part of many individuals and their representative bodies.

According to Merton (1938, p. 680-681), there is a correlation between crime and poverty. A consequence of poverty is the limitation of opportunities. Although it is not so simple to explain the causality link between poverty, social exclusion and the armed violence in major urban centres in Haiti, as well as in Brazil, an association between these three factors (poverty, social exclusion and violence) perform a vicious circle of hard solution. While every form of violence has your local repercussions, there are also national factors of Haitian society that enable the use of the concept of anomie as a macrossociologic explanation of the problem of the gangs.

The anomie is a social dysfunction that needs to be addressed through encouraging the establishment of social relations well ordered in the sense that, over time, could serve as a basis or help to build institutions that meet the wishes of rise and social progress. Therefore, the search for a social stability is done by initiatives that encourage the society to promote actions to socio-political and economic development as a whole.

The Haitian democratic transition process initiated in the late 1980's did not consolidate the State structures of support of the wishes and needs of the people. On the contrary, they used to ensure the rules of coexistence in society. Since the fall of the Duvalier dictatorship various factions of the local elites have been competing for primacy in the management of the State without, however, ensure that this structure provides legal support and social protection to the citizens.

In 2005, despite the presence of MINUSTAH, as the *International Crisis Group* (ICG, 2005, paragraph 13) clarifies, the Haitian society was deeply polarized. The collapse of State authority and State institutions throughout the previous decade gave way to the emergence of violent groups based in social conflicts and political infighting. It also gave rise to gangs, drug dealers and kidnappers. Jointly, this violence and the the lack of commitment of political elites in organizing a honest structure to oppose the chronic poverty, deprivation and social exclusion put the Haitian population at risk.

After a decade since the intervention of the MINUSTAH, despite the improvement in the security situation, the Haitian society challenges still remain: there is a need of social and economic revitalization, solution to the environmental problem, creation of jobs, social services and reliable electoral process. Haiti asks for institutions that provide equal opportunities for social rise and a simple guarantee of rights.

Durkheim (1999, 2000), argues that the absence of “normal” interactions between the institutions of the Haitian State and population over time does not allow the built of coexistence rules, resulting in deregulation and the consequent state of anomie. Break this dysfunctional paradigm is a complex task that depends on the cooperation between the Government and Haitian institutions and the international community.

In this context, the anomie of the security area is a factor of prolonged consequence in function of the collapse of political order. Without a leadership approved by the population, the Haitian institutions reform continues to be postponed. According to Dziedzic and Perito (2008, p. 2), even before the January 2010 earthquake, the Haitian judicial system was ruined and with antiquated criminal structures. That is, it was unable to make front to the pressure of the gangs. In addition, the security force in the country, the Haitian National Police (HNP) presented a lack of people and equipment. Because of that, it was a focus of mistrust of the population as a consequence of its legacy of corruption and involvement with the gang problem.

According to Dziedzic and Perito (2008, p. 2), despite their criminal character, the gangs are an inherently political phenomenon. Powerful elites have explored the gangs as instruments of political warfare, providing weapons, funding and protection against the detention. Ideologically linked to social demands of poor Haitians, the government of President Jean-Bertrand Aristide and many of his supporters saw in the gangs the possibility of achieving an armed opposition to the pressure exerted by ex-military (Haitian armed forces were demobilised throughout the 1990’s) and by private security services funded by the economic elites.

According to Muggah (2010, p.-s446 s447), although there are some episodic bouts of violence and a litle bit of controversial incidents assigned to MINUSTAH, the security situation shows sensitive improvement, particularly since 2007. Until 2007 there was considerable criticism to the so-called “occupation” of Haiti by MINUSTAH and western governments. This concern has increased after a series of actions carried out in the favelas of the capital searching for fugitives and drug dealers. However, the main emphasis of the peacekeeping operations carried out from 2004 has been the reduction of armed violence in the country. This objective has been pursued by conventional means such as investment in formal law enforcement mechanisms, including judicial, police and penal reform (Muggah, 2010, p.-s446 s447).

In addition to the initiatives to reform the security sector and provision of training and equipment of the Haitian police, the containment of violence has been carried out proactively at the level of communities and neighborhoods through local stabilization activities. These initiatives at the local level have become increasingly prominent in the wake of the so-called “pacification operations” carried out by MINUSTAH peacekeeping forces between 2004 and 2007 in large urban favelas, specifically in *Bel Air*, *Cité Soleil* and *Martissant*.

Second Muggah (2010, p. s447), after a short period of time, it seems that the interpersonal violence began to decline substantially, showing security dividends at the local level in terms of the decrease in the number of deaths, robbery and perception of insecurity. The perception of improvement in the security situation persisted even after the January 2010 earthquake, as show by researches along the Haitian families in March 2010.

1. MAIN RESULTS

Since the second deposition of former President Aristide in 2004, Haitian gangs have become poles for political disputes by applying strong pressure on the population based on unlawful means such as kidnapping, rape, arson, robbery and drug trafficking, among other crimes. The instability caused by gangs appears as an eminently structural problem of Haitian society and as such with implications that do not refer exclusively to the security context, but also to the development of Haitian society.

On the one hand, the social practices of Haitian citizens were unable to erect regulatory standards that ensure peace and social justice because of the state of anomie which contributes to systemic instability of the Haitian Government. On the other, this absence of standards culminates with the establishment of dysfunctional institutions that do not provide instruments for social rise among Haitian citizens, contributing to the emergence of behavioral pathologies that challenge existing norms even if it is through the delinquency. This perspective shows how the problem of gangs in Haitian society is preeminently structural as it has as anomalous form. In the absence of government bodies, these criminal groups raise public support by promoting means of social rise among its members and social services to underprivileged citizens.

The deployment reports of the Brazilian contingents showed how the Brazilian troops sought to break the ties that bound the gangs to the dysfunctional society through actions that combined coercive force means with activities of social and humanitarian impact. While coercive actions fought the terror exercised by the gangs, the social impact activities promoted means for emergency and humanitarian assistance by MINUSTAH and the Haitian State.

The UN operational doctrine separates clearly the military actions from those being carried out by civilian components, which include humanitarian actions. However, Brazilian counter strategies added humanitarian and welfare functions to the military actions carried out by the troops. The relevance of the action of the Brazilian troops to the positive results of peacemaking in Haiti can be observed from the analysis of the deployment reports.

The first Brazilian contingent had been prepared to act on the basis of Chapter VI of the UN Charter. However, the worsenen of the security situation, as reported by the UN Secretary General[[6]](#footnote-6), demanded the review of the *modus operandi* of the Brazilian Contingente which started operating based on Chapter VII doctrine[[7]](#footnote-7).

There is no way to determine with accuracy if the operational posture of the troops of MINUSTAH, including Brazilian contingents, relates to the escalation of the situation on the ground, but it is important to note that the mission task force only reached the desired level and stipulated force after one year of mobilization. From the beginning the Brazilian troops needed to adapt their *modus operandi* to fit the area of responsibility.

According to the General Augusto Heleno, first Commander of the MINUSTAH, the most important contribution from the Brazilian military command to the United Nations peacekeeping doctrine, specially regarding Chapter VII deployment, were the humanitarian actions carried out by the military. These actions have contributed to raising the confidence and solidarity actions by the local population. In other words, it resulted in social empowrement. Despite the fact that these assignments are not traditional task of the military, such instruments, considering the absence or impossibility of other instruments operating in crisis situations, are an efficient way to achieve a balance between the peace mission and the local population[[8]](#footnote-8).

The FDRIBPKO showed that this posture on the part of Brazilian troops followed the operational guidance of the Commander of the military force (*force commander*) and humanitarian actions carried out by the Brazilian contingent were performed even with the lack of support of MINUSTAH's civilian command. The employment of troops took place simultaneously in coping and support humanitarian work.

According to the Commander of the Brazilian Battalion, the Brazilian experience in humanitarian aid activities work together with military operations was a differentiating factor of the Brazilian contingents. This fact enabled the Brazilians to be better welcomed by Haitian population (Ministry of Defense, Final Report of Deployment, 4th Contingent, 2006, p. 28).

The Brazilian approach to the Haitian case may have “Brazilian way” of working the Civil-Military Coordination (CIMIC). The Brazilian way presents a convergence of functions typically performed by civilians exerted by the military, in addition to the strategic design of the mission that includes the protection of civilians and the military objective to raise public support to military operations. As a result, there is a trend of improvement of the level of protection of the mission, both the civilian and military components and the population itself.

The practice of civic-social action and other humanitarian support work by the Brazilian troops, in the context of UN-CIMIC, moves from the individual application with its own resources to join the broad spectrum of mission. This process does not occur without much resistance from military actors, conditioned to perform tasks exclusively military, as well as from the civilian components that act on peace missions which tend to safeguard their roles that in principle would not be associated with strategic objectives. Soon, the initiatives of the Brazilian Battalion in coordinate and seek support for their actions with the civilian MINUSTAH offices are revealing of the Brazilian practice.

As a result of the safety level reached, the Brazilian troops intensified the CIMIC actions to meet the strategy to “win hearts and minds” of the population in order to obtain the trust and support of the local leaders who were committed to the socioeconomic development of the Haitians (Ministry of Defense, Final Deployment Report, 6th Contingent, 2007, p. 24). The operational success of the Brazilian troops in Haiti can also be attributed to the work of the section of psychological operations whose activities covered both internal public as the Haitian population in the area of operations.

The Brazilian strategies, besides ensuring the improvement of security, assisted in the construction of an international image of the country as “*peacekeeper*”. According to Colonel José Ricardo Vendramin Nunes (2015, p. 6), former Commander of the Center of Joint Peacekeeping Operations of Brazil (CCOPAB), Brazil engaged decisively in Haiti. From the beginning, the country didn't just make the biggest military contribution and assume the responsibilities of leadership of the military component. The Brazil proposed development projects, led political efforts, emphasized the humanitarian appeal for donations, made diplomatic initiatives by the UN and acted firmly in the non-permanent membership of the UNSC. The reputation of Brazilian solidarity and commitment to international peace was reinforced with justice in more than ten years of MINUSTAH.

It is possible to deduce from the content analysis of the final reports that the forces of MINUSTAH, including Brazilian military contingents, have been successful in ensuring stability in Haiti, despite the critical periods of early mobilization, especially between 2004 and 2007. Thus, analysis of such reports revealed two dynamic achievements in terms of deployment of the Brazilian troops in Haiti. On the one hand, the doctrines of pacification and of law and order guarantee of the Brazilian Army adopted by the Brazilian Contingents have proved to be effective for deployment in UN peace missions, if there were symmetry between the operating environment and the reality to which the troops are prepared. In the absence of a doctrine for action under Chapter VII of the UN Charter, the recourse to domestic doctrine (law and order garantee), even in the face of the similarities and differences of the Haitian scenario compared to the Brazilian reality, proved to be a wise decision.

On the other hand, the participation in MINUSTAH allowed Brazilian law and order techniques to be improved through the work of the Center of Joint Peacekeeping Operations of Brazil (CCOPAB). This evolution is felt in the way each Final Deployment Report describes the various operational situations, mainly regarding the approach to civil affairs and CIMIC activities. The focus on the analysis of the Brazilian Final Deployment Reports are relevant because United Nations Secretary General Reports on Haiti do not state how decisive the Brazilian practices were for the success of the mission or the relevance of humanitarian actions conducted by military personnel.

One can see, in this context, even if the strategic design and doctrine of the UN troops in Haiti to prevail, in practice, the Brazilian troops have developed operations on the basis of a self *modus operandi* with positive results to the conjunction of the mission. This is not to say that the Brazilian contingent of MINUSTAH doen not respond to chain of command. It only shows that operations within the framework of the UN peace missions are sensitive to cultural and doctrinal trends of troop contributing countries.

In the case of Brazil, in addition to the *force commander* of MINUSTAH be always a Brazilian general, purposeful stance of Brazilian troops in operational terms is due, among other factors, the fact that the country commanded the largest mobilized military contingent in Haiti and Brazilian battalions are responsible for the most sensitive areas of the Haitian capital.

Content analysis of the Final Reports of Deployment showed that references to counter adverse forces were decreasing over time beucase of the sensitive improvement of security environment on the ground. In addition, the content analysis showed sharp increase of references to support humanitarian work carried out by the Brazilian troops, even before the January 2010 earthquake, which confirmed the improvement of the security situation.

Theoretically, the acting in humanitarian support may represent a deviation from the original military function of the troops. However, in the case of the Brazilian troops, military objectives to be achieved with humanitarian practices show that there is no deviation from the original military function, but rather the systematic deployment of troops with a view to achieving positive results in terms of the so-called “human terrain” (wininng of hearts and minds) and the search for social reempowrement. The use of psychological operations, in this context, is a strong indicator that the use of force by the Brazilian troops under MINUSTAH incorporates subtle elements from motivational field with a view to promoting a change of attitude of the population regarding gangs and for UN forces to be accepted by the Haitian society.

When the Final Reports of Deployment mention winning hearts and minds as a strategy to drum up support of the Haitian population at activities of Brazilian troops, it can be observed how the contingents have worked for changes in attitudes between conflicting parts. The development of psychological operations was a natural consequence of analysis of the Haitian scene made by Brazilian commanders and an effective strategy to break the power exercised by the gangs and to the promotion of MINUSTAH and the Haitian State institutions.

In the absence of a specific doctrine for action under Chapter VII of the UN, in missions that involve stabilization, coping practices of Haitian gangs by the Brazilian troops innovated by proposing that humanitarian actions were performed by the military, backed by the Brazilian doctrine operational stance of ensuring law and order and peace.

Based on the doctrine of ensuring law and order, it was applied the strategy of the “focal strengths points”, key to resuming control from regions within the Haitian slums. In addition, the doctrine of pacification, which serves as base for winning hearts and minds, is crucial to the success of the operations aimed at, among other things, to guarantee a secure environment, the development of essential services and infrastructure, the right attitude and good communication between the members of the military component and the locals where actions are triggered.

This is the first Brazilian experience of use of force at the tactical level in a UN stabilization mission governed mostly by Chapter VII of the UN Charter. The Brazilian cooperation was restricted to the transfer of troops and the *force commander*, comprising a broad cooperation in various economic and social sectors, such as agriculture, education and health.

Thus, the approach adopted by the Brazilian troops about the chronic instability scenario in Haiti introduced features that enable Brazil as a provider of security and development solutions to crises in fragile States with similar characteristics to those of Haiti. It is appropriate to point out that the Brazilian practices to counter gangs in Haiti were successful in their operational objectives and contributed to the fact that for the first time in the United Nations History one single country leads the military component of a peacekeeping mission for more than 10 years.

1. CONCLUDING REMARKS

This article analyzed the counter strategies of Haitian gangs by the Brazilian contingents of MINUSTAH. To this end, we analysed the problem of gangs in Haitian society based on the concept of anomie and the operational experience of the Brazilian troops of MINUSTAH. It was possible to deduce from the analysis of the military reports (FDRIBPKO report) that the forces of MINUSTAH, including Brazilian military contingents, have been successful in ensuring stability in Haiti. In this context, the analysis of the FDRIBPKO report of the 20 contingent reveals that most of the activities carried out by the Brazilian troops in Haiti had humanitarian focus, although in their original conception the humanitarian troops were governed by strategic military objectives.

The analysis of the Final Reports of Deployment (FDRIBPKO) revealed the consolidation of two dynamics of actions in terms of the Brazilian troops in Haiti. On the one hand, the doctrines of pacification and guarantee of law and order, adopted by the first Brazilian contingents, have proved to be effective for UN peace missions, if they observe the conformity between the operating environment and reality which the troops were prepared.

In the absence of a doctrine for actions under Chapter VII of the UN Charter, the expedient to domestic military doctrine (that is, the use of foundations and protocols of self expertise), even in the face of the similarities and differences of the Haitian scenario compared to the Brazilian reality, proved to be a wise decision. On the other hand, there was an improvement of the Brazilian military techniques. This techniques operated by the Brazilian Joint Center of Peacekeeping Operations, came to suit to the doctrinal reality of United Nations in terms of its peace missions. This evolution is shown in the way each final report of deployment described the various operational situations, mainly regarding the approach to civil affairs and civil-military coordination activities.

Finally, it is important to add that the general improvement in the security perception can be reflected in a renewed acceptance of the security forces of the State by the Haitian people. However, if anomie will persist, the trend is that Haitian society creates dysfunctional links with its international partners becoming dependent on actions of MINUSTAH in promoting the social order at each electoral period. The instability of the electoral process demand continued attention. However, Brazilian army officers who worked on MINUSTAH until 2014 declared “in informal conversations” that now is the time for withdrawal of UN peacekeeping forces in Haiti.

Such point of view finds resonance in the policy of the United Nations for Haiti. Since October 2012 the UN has promoted the reduction of the military contingent of MINUSTAH. Between October 2012 and October 2013 the military personnel has been reduced from 6,270 to 5,021 men and women agents. On 18 March 2015, the UNSC authorized further reduction of military personnel to 2,370 agents from June 2015.

In general, the process of reduction of the United Nations military personnel in Haiti shows that the mission as a whole has been successful in ensuring the secure and stable environment and in promoting the strengthening of the institutions of the Haitian State. The activities of the Brazilian troops under MINUSTAH encouraged changes in attitudes of the population with respect to gangs and gave support to the strengthening of the Haitian institutions.

In summary, the strategies developed by the Brazilian military in Haiti contributed to the success of the MINUSTAH. The actions of the Brazilian troops in Haiti were crucial for the social stabilization. The Brazilian way of applying military action with humanitarian goals proved to be a way of coadunating international help with country specific characteristics. As a secondary effect, this effort has enabled social empowerment through civil-military actions.

Therefore, the content analysis of the Final Deployment Reports of MINUSTAH's Brazilian Contingents was instrumental to note the actions undertaken by the troops. The analytical structure created for review of these reports, using the comparison between thematic indices in conjunction with their respective indicators, proved to be an effective tool. Since, it allowed the extraction of information from the documents appropriated to the elucidation of the problem in the Haitian society.

Future studies could examine the implications of the use of psychological operations in the context of United Nations peace missions to win the support of local populations. This topic is little explored by the academy. Certainly, the so-called civil-military coordination (CIMIC) based on Brazilian method applied in Haiti should be better discussed. Perhaps, in the context of the MINUSTAH, they have proposed an alternative *modus operandi* to orthodox doctrine. This process of adaptation between the Brazilian doctrine and the doctrine of the United Nations is a topic that deserves to be discussed.

The Brazilian military involvement with MINUSTAH marks an evolution of Brazilian approach in relation to peacekeeping missions. However, the faithful in the traditional moderate use of force, based on the doctrines of domestic work, by the Brazilian troops demonstrated high degree of impartiality and reluctance to resort to violence in Haiti. Something that analysts like Robert Muggah (2015, p. 14) call “Brazilian way of peacekeeping”.

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1. \* Doctor in Development, Society and International Cooperation; Associate Researcher, Asian Studies Center (NEASIA), Multidisciplinary Advanced Studies Center (CEAM), University of Brasilia (UnB). Email: jusandi@gmail.com. [↑](#footnote-ref-1)
2. \*\* Doctor in Economics; Department of Administration, University of Brasilia (UnB). Email: danielle.sandi@gmail.com.

\*\*\*All contact must be addressed to the second author. [↑](#footnote-ref-2)
3. The Security Council consists of 15 members. Five of them are permanent members with veto power (the United States, France, Britain, Russia and the people's Republic of China). The other 10 members are elected by the General Assembly for two-year mandates. The Brazil participated as a non-permanent member of the UNSC for the biennia: 1946-1947, 1951-1952, 1954-1955, 1963-1964, 1967-1968, 1988-1989, 1993-1994, 1998-1999, 2004-2005, 2010-2011. Source: http://www.un.org/en/sc/members/elected.asp. Accessed: 15 March 2015. [↑](#footnote-ref-3)
4. These reports are confidential and have restricted circulation. Their access was guaranteed by deference of the high command of the Brazilian Army after a year and a half of negotiations with military organisations: Ministry of Defense, the Army High Command and Command of Grounded Operations. [↑](#footnote-ref-4)
5. See table 1 for a list of FDRIBPKO reports analysed. [↑](#footnote-ref-5)
6. The report of 18 November 2004 (S/2004/908), Kofi Annan stated that the security situation deteriorated particularly in Port-au-prince (p. 2). The main threat came from armed groups that challenged and confronted the Transitional Government. The violence was present at the action of ex-military who tried to assume positions of the Haitian National Police (HNP) and the demonstrations in support of former President Aristide, with the construction of barricades and shootings in Port-au-prince, mostly in the favelas of Bel Air and Cité Soleil, considered strongholds of the supporters of the former President. [↑](#footnote-ref-6)
7. The Chapter VII of the UN Charter provides for the adoption of coercive measures for peace enforcement subject to the approval of the Security Council [↑](#footnote-ref-7)
8. General Augusto Heleno Ribeiro Pereira in lecture entitled "Peace operation in Haiti", Secretariat of Strategic Affairs (SAE), the Cabinet Institutional Security (GSI) of the Presidency of the Republic (PR), held on 14 October 2005, at the Presidential Palace, Brazil. [↑](#footnote-ref-8)